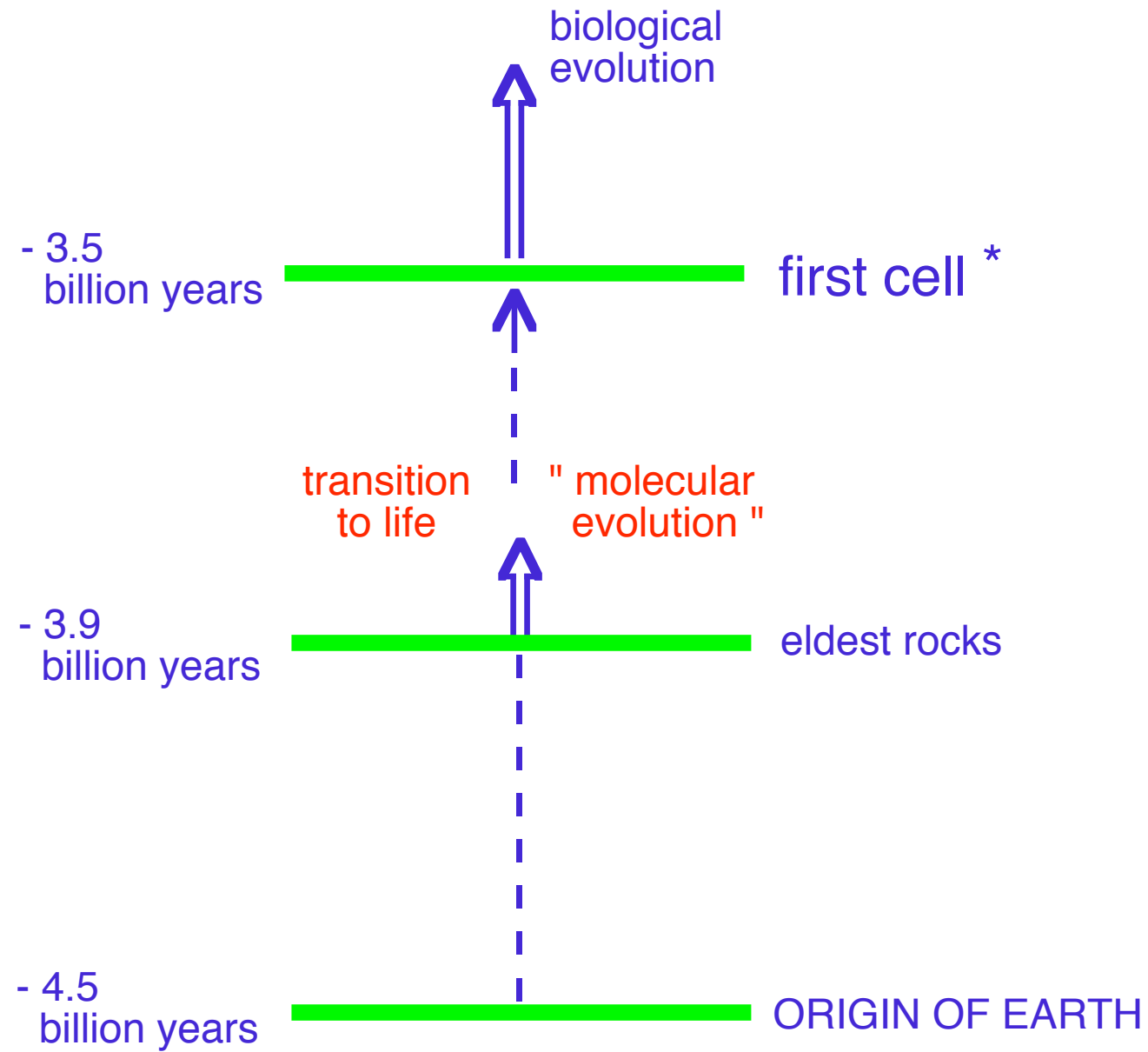


**FROM THE ORIGIN OF LIFE TO**

**SYNTHETIC BIOLOGY (MINIMAL CELL)**

**With an excursus on self-aggregation/emergence**



**Figure 21.9**

Fossils of prokaryotes dated from about 3.5 billion years ago. **a.** This prokaryotic microorganism, *Proterostium*, (with interpretive drawings) was found in a fossilized stromatolite. **b.** Living stromatolites are located in shallow waters off the shores of western Australia and also in other tropical seas.

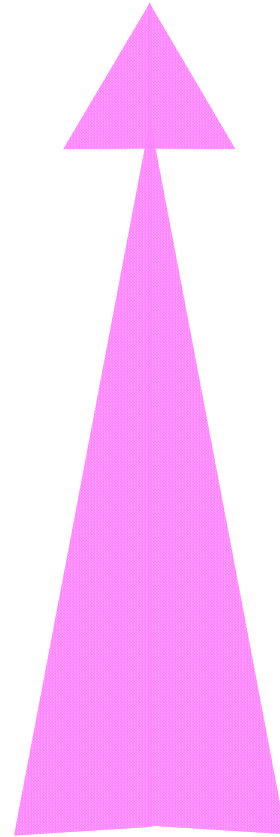


**a.**



**b.**

LIFE

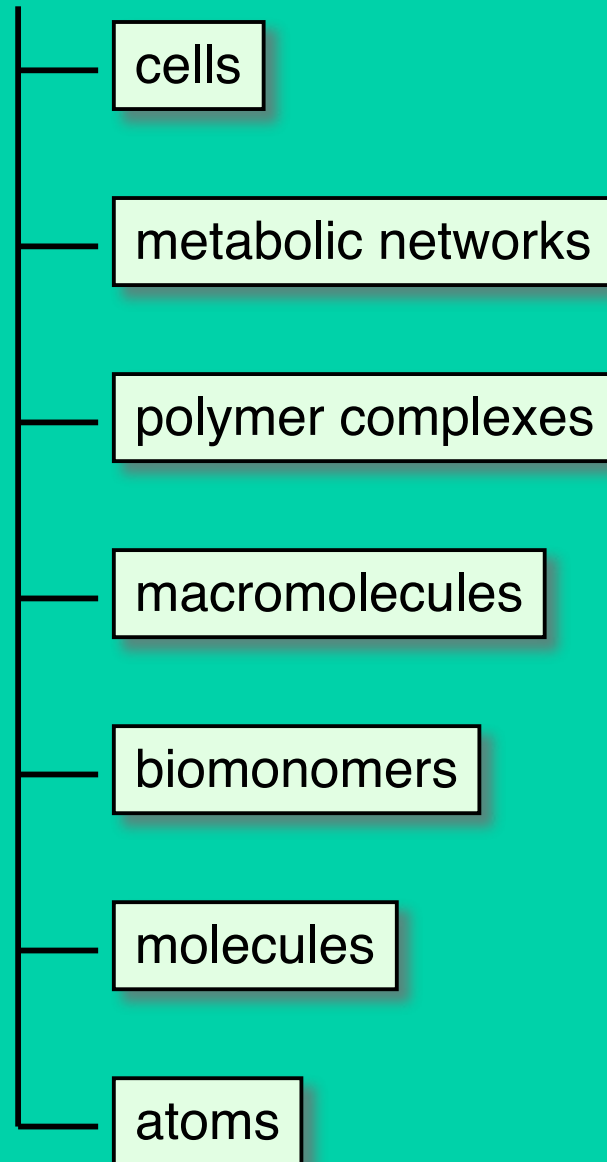


INANIMATE MATTER  
( NON - LIFE )

**The traditional definition:**

**Science is  
the attempt  
to explain the phenomenology  
of the world in terms of the laws  
of physics and chemistry**

**..not the only way to explain the world**





**Aleksandr Oparin ( 1894 – 1980 )**

*ПРОЛЕТАРИИ ВСЕХ СТРАН, СОЕДИНЯЙТЕСЬ!*

А. И. ОПАРИН

# ПРОИСХОЖДЕНИЕ ЖИЗНИ



„МОСКОВСКИЙ РАБОЧИЙ“  
1 9 2 4



**About the philosophical framework**

**Determinism vs Contingency  
in the origin of life**

## **The deterministic view of the origin of life**

the “continuity principle”

no unbridgeable gap between inorganic and living matter;  
each stage in evolution develops continuously from the previous one,  
at each stage there is a continuous path backwards to the prebiotic  
state and forward to modern organisms

AND:

...given the suitable initial conditions, the emergence of life is  
highly probable and governed by the laws of chemistry and physics...

Orgel; Morrowitz; de Duve

**The science of the origin of life has to adopt the deterministic, continuity view-otherwise it would not be possible to adopt a scientific method of inquiry**

**Christian de Duve**  
**Harold Morowitz**  
**And others...**

**as opposite to this, the view by which:**

life originated as a entirely chance event  
as a highly improbable event  
as a “happy accident”  
comparable to the assemblage of a 747 Boeing  
by a tornado whirling through a junkyard (Hoyle 1981, 1993)

*the origin of life as an impenetrable  
barrier to science and a residue to all  
attempts to reduce biology to chemistry  
and physics  
(Popper (1972, 1982)*

*we cannot give a causal explanation of the  
origin of biological organization. We have  
to do as if the biological organization is  
given by an external organizer  
Kant, 1790*

**...we also reject the suggestions of Monod that the origin requires a series of highly improbable events... The study of origin of life is useful only if that beginning took place under probably deterministic conditions, otherwise ...it becomes a branch of history rather than natural science...**

**H. J. Morowitz  
Beginning of Cellular Life, Yale Univ. Press, 1992**

*...”I favor the view that life was bound to arise under the physical–chemical conditions that surrounded its birth”*  
*De Duve, 2002*

*We have no reason to believe that biogenesis was not a series of chemical events subject to all of the laws governing atoms and their interactions.”*  
*Morowitzt, 1991*

*“..It is self–evident that the universe was pregnant with life and the biosphere with man. Otherwise, we would not be here. Or else, our presence can be explained only by a miracle...”*  
*De Duve, 2002*

**Other „crypto-creationists“????**

**Anthropic principle**

**Panspermia**

**SETI**

The **anthropic principle** can be expressed in different ways but the basic idea is that the universal constants, the geometric parameters, and all things of the universe are so precisely determined– not a 5% deviation would allow life–

They are the way they are in order for life and evolution to develop.

It is the *post hoc* argument that since we are so improbable, our presence must signify a purposeful universe.

(Davies, 1999; Barrow and Triples, 1988 and 1996; Barrow, 2001; Carr, 2001).

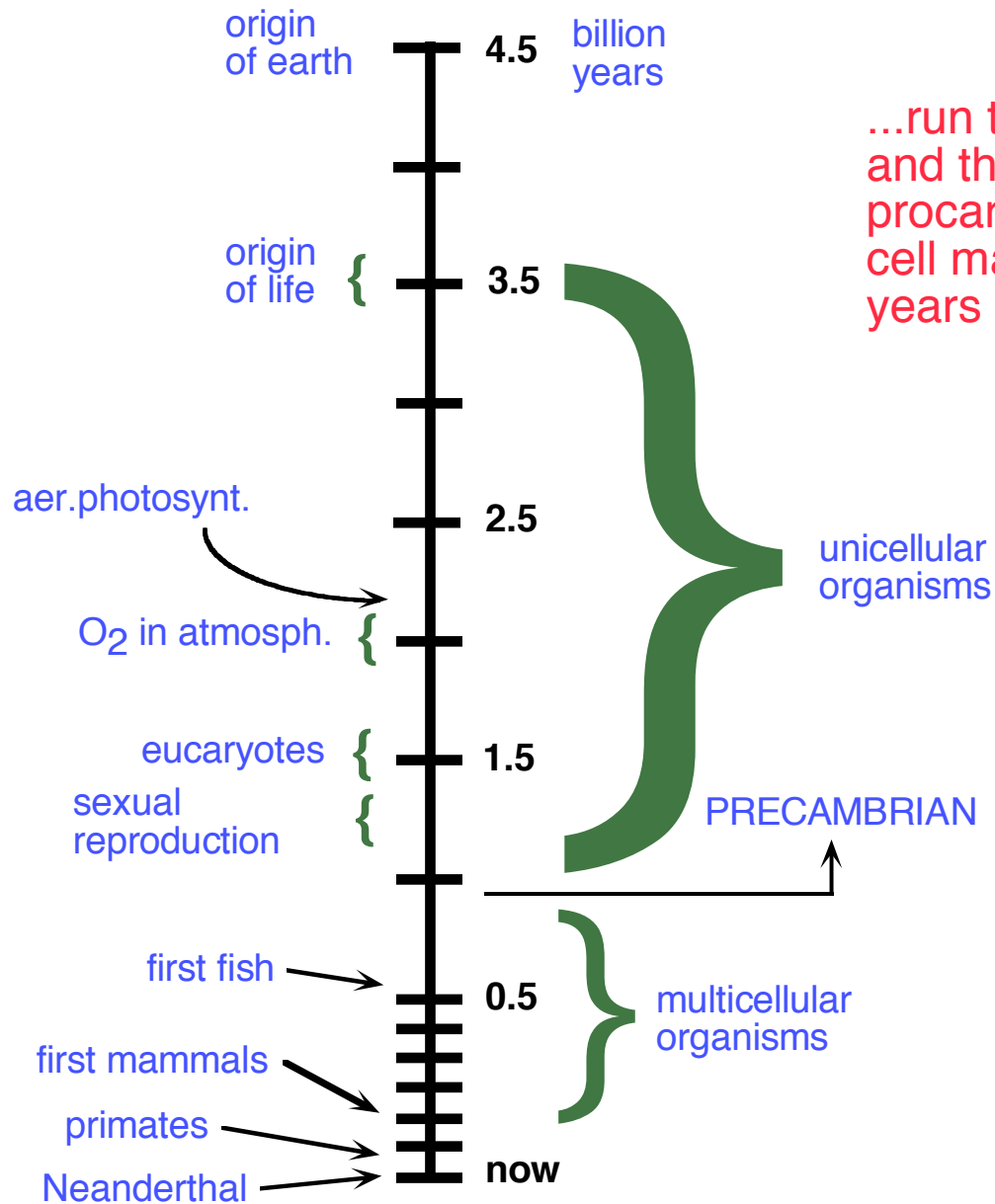


*“If life follows from (primordial) soup with causal dependability, the laws of nature encode a hidden subtext, a cosmic imperative, which tell them: ‘Make life! And, through life, its by-products, mind, knowing, understanding...’ ”.*

**Paul Davis, 1991**

**OPPOSITE TO  
THAT...**

**CONTINGENCY**



...run the tape again,  
and the first step from  
procaryotic to eucaryotic  
cell may take 12 billion  
years instead of 2...

Stephen J. Gould  
in "Wonderful Life"  
1991 Penguin Science

**WE WOULD LIKE TO THINK OURSELVES  
NECESSARY, INEVITABLE, ORDAINED FOR  
ALL ETERNITY.**

**ALL RELIGIONS, ALL PHILOSOPHIES, AND  
EVEN PART OF SCIENCE TESTIFY  
TO THE UNWEARYING, HEROIC EFFORT  
OF MANKIND  
DESPERATELY DENYING  
ITS OWN CONTINGENCY**

**J.Monod, Chance and Necessity, 1971**

ARE WE ALONE IN  
THE UNIVERSE??

# SOME MAIN ASSUMPTIONS OF PRESENT DAY RESEARCH ON THE ORIGIN OF LIFE

1. Life originated from inanimate matter as a spontaneous and continuous increase of molecular complexity. Chemical continuity principle - no transcendental principle.
2. The chemical process(es) to transition to life can be reproduced in the laboratory with the presently available chemical techniques and chemicals.
3. And this can be implemented in a reasonable (hours or max. days) experimental time span - once you know the right combination of prebiotic compounds and the conditions.
4. Since there is no documentation on how things really happened, there is no obligatory research pathway.

**LIFE**



**inanimate  
matter**

## **reductionism versus holism**

only an apparent dichotomy

as

the whole is made by the sum of its constituents

but

some of the qualities of the whole cannot be foreseen  
or explained from the sum of the qualities  
of the components

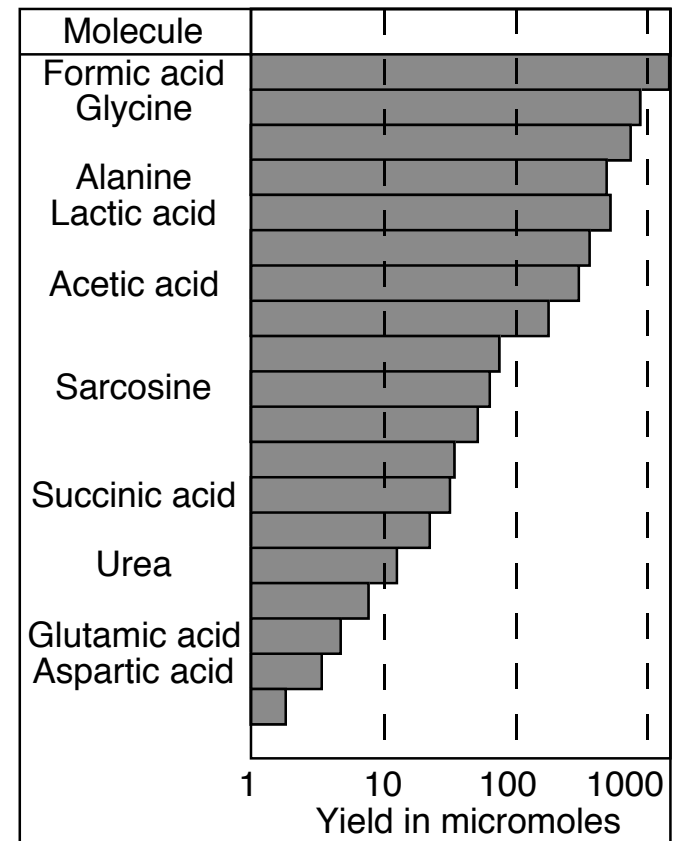
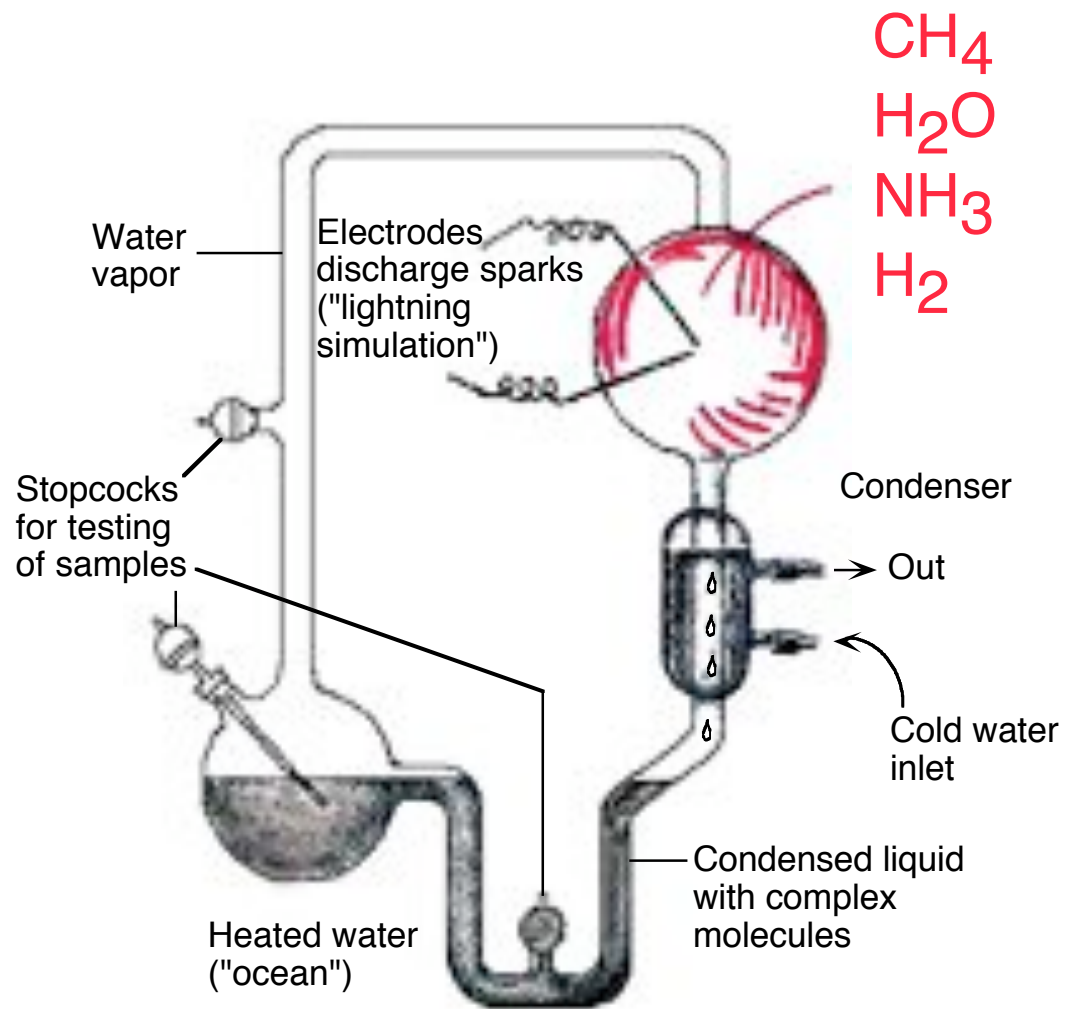
the notion of emergence

the chemistry of the origin of life as a challenge  
to create molecular complexity and specificity by  
using the simplest possible means

since we do not know how life emerged really,  
every scientists is free to choose her/his own  
working path.

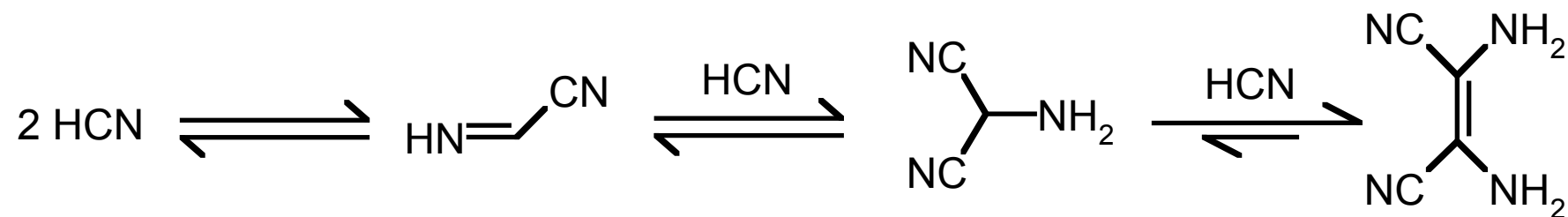
Freedom and phantasy  
Do it as you wish, just do it





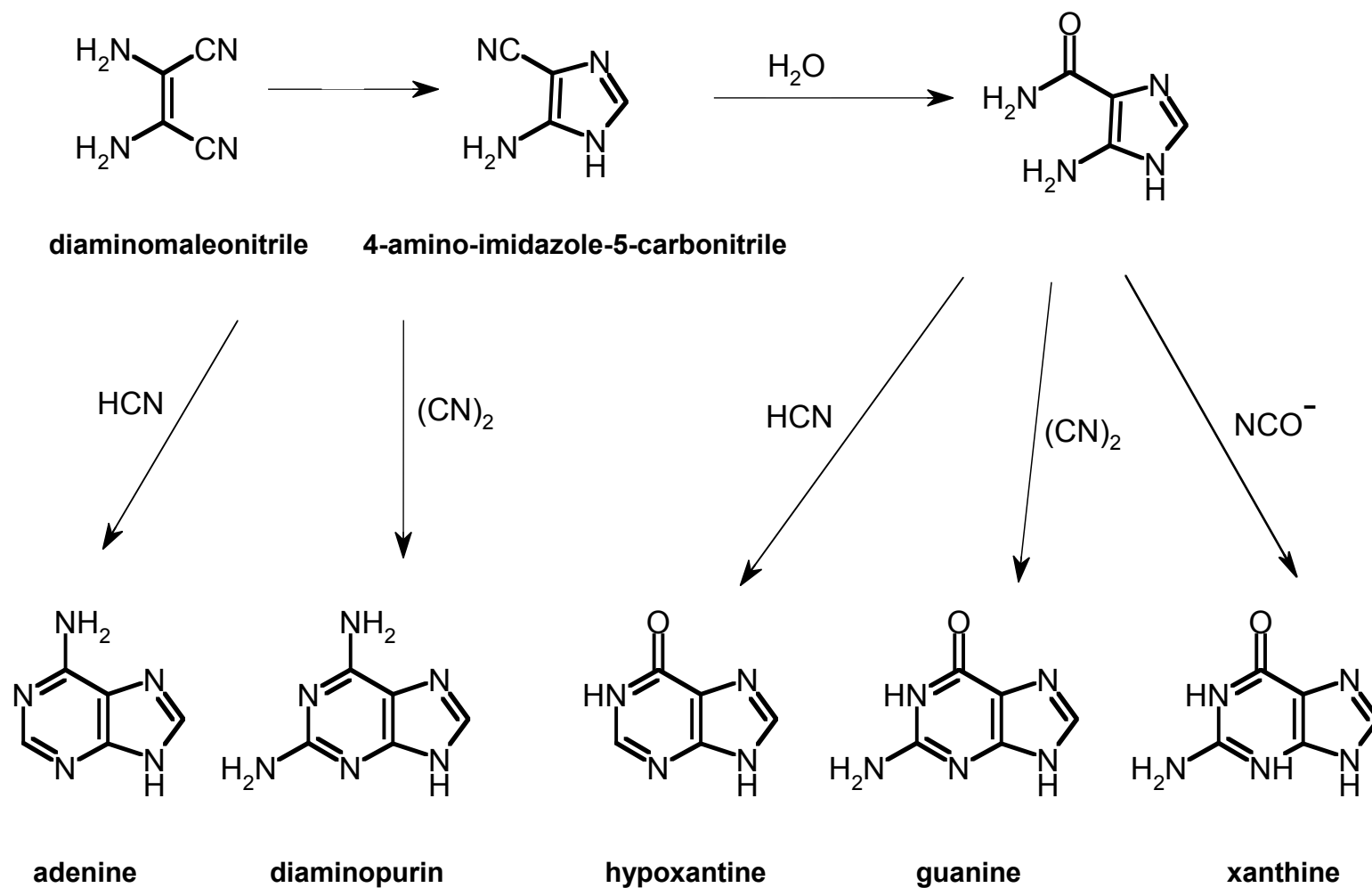
# Chemistry of HCN, precursor of purines

Ferris & Orgel 1996, 1967



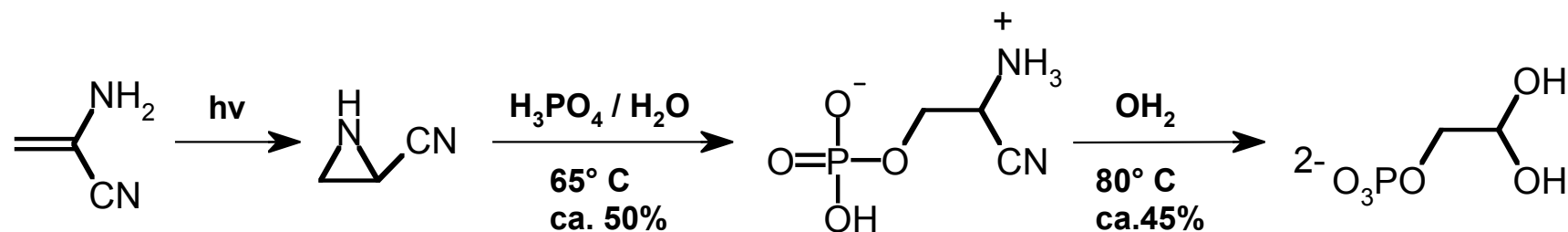
# Synthesis of purines

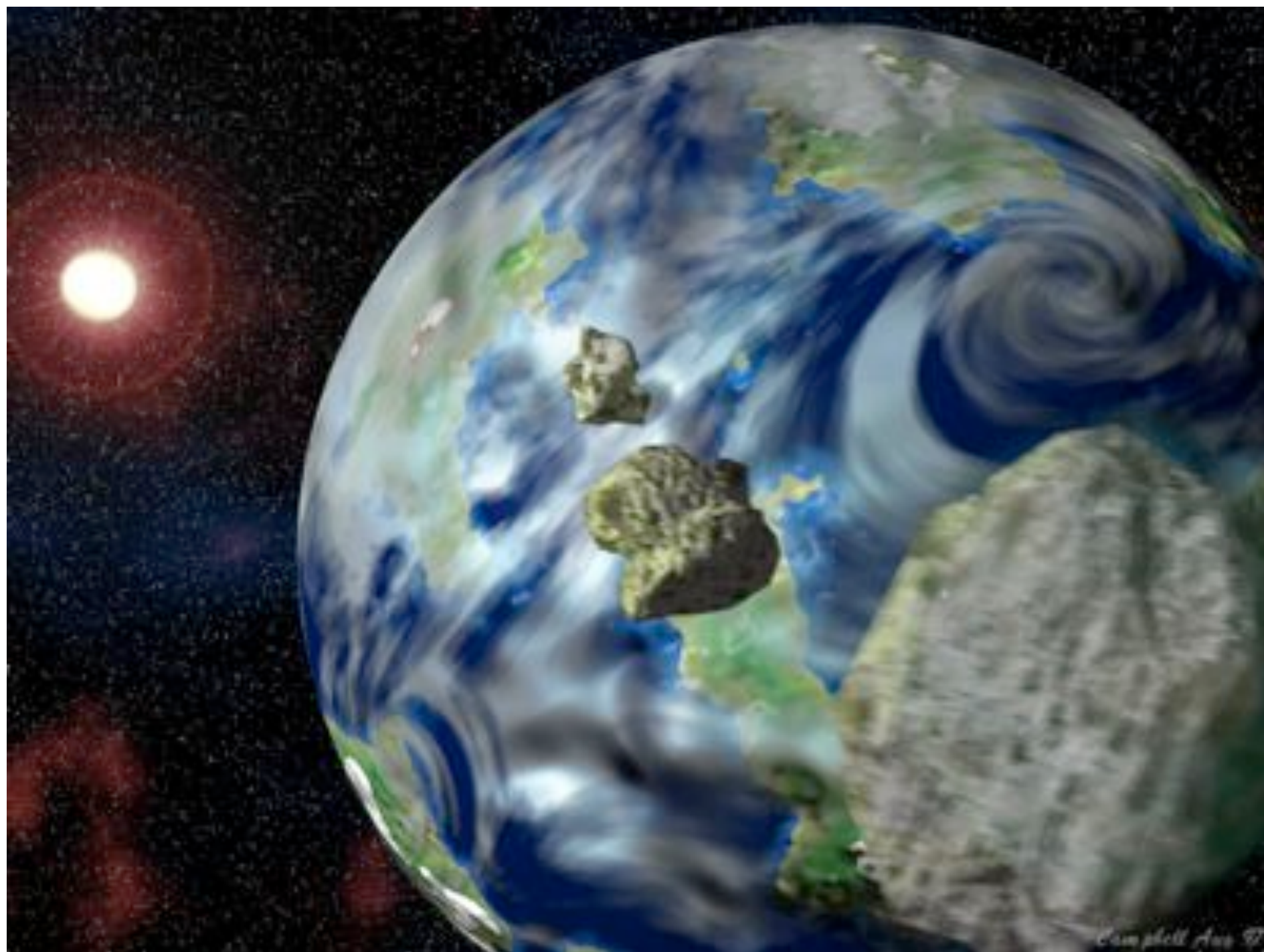
## Oró, 1960



# Synthesis of sugar phosphates

Eschenmoser 1988, 1990





**Every year 10,000 Kg of „cosmic dust“  
comes to the Earth from space:  
10-15 % of this material are  
carbonaceous molecules**

**Meteorites and comets bring additional  
compounds. Including water.  
Aminoacids are formed in  
meteorites**

**Why do aminoacid form in Miller's experiment?**

**Why this...and not that?....**

**They form because there are the most stable of the possible compounds- due to processes under thermodynamic control**

**PREBIOTIC CHEMISTRY HAS  
REACHED  
CONSIDERABLE SUCCESS .... BUT**

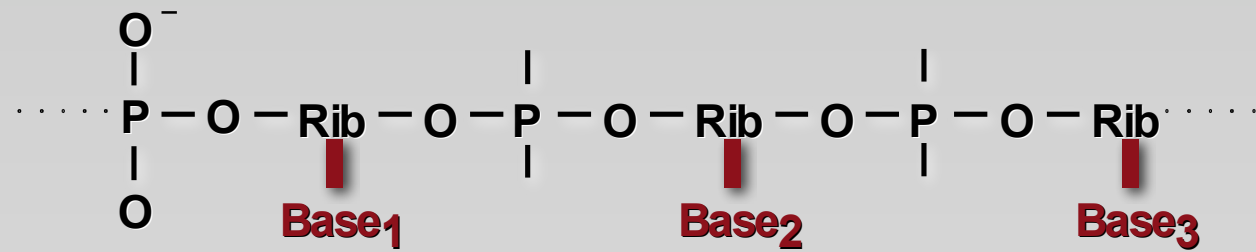
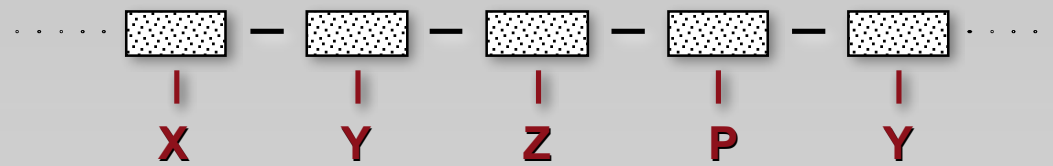
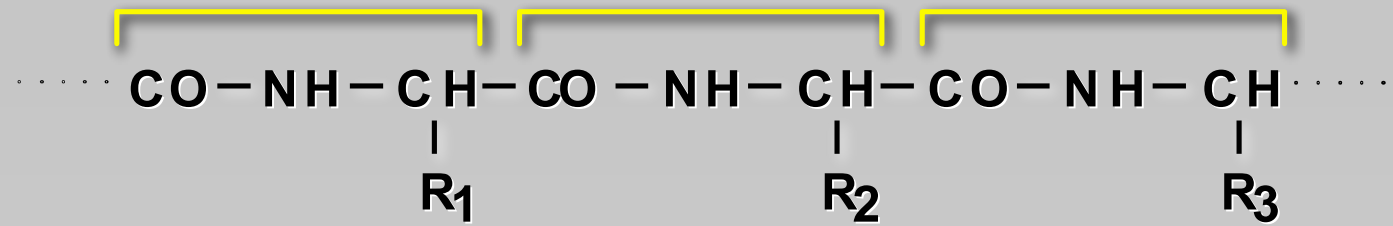
**Even if the chemist would have  
access to all prebiotic bio-monomers**

**he would NOT have  
the solution to the origin of life**



## **THE CORE OF THE PROBLEM:**

**LIFE AS WE KNOW IT IS DETERMINED BY  
SPECIFIC MACROMOLECULAR SEQUENCES  
LIKE NUCLEIC ACIDS AND PROTEINS**



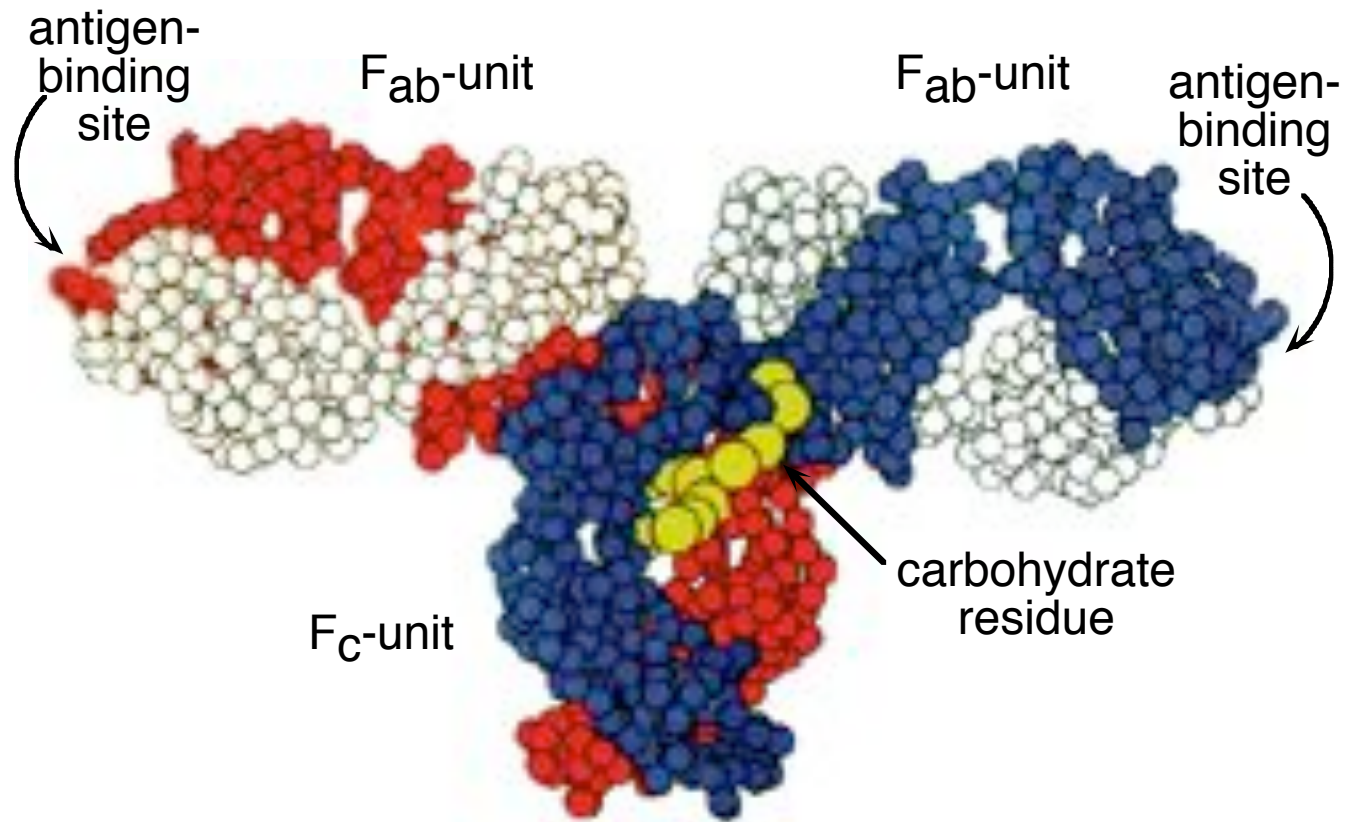


(75)



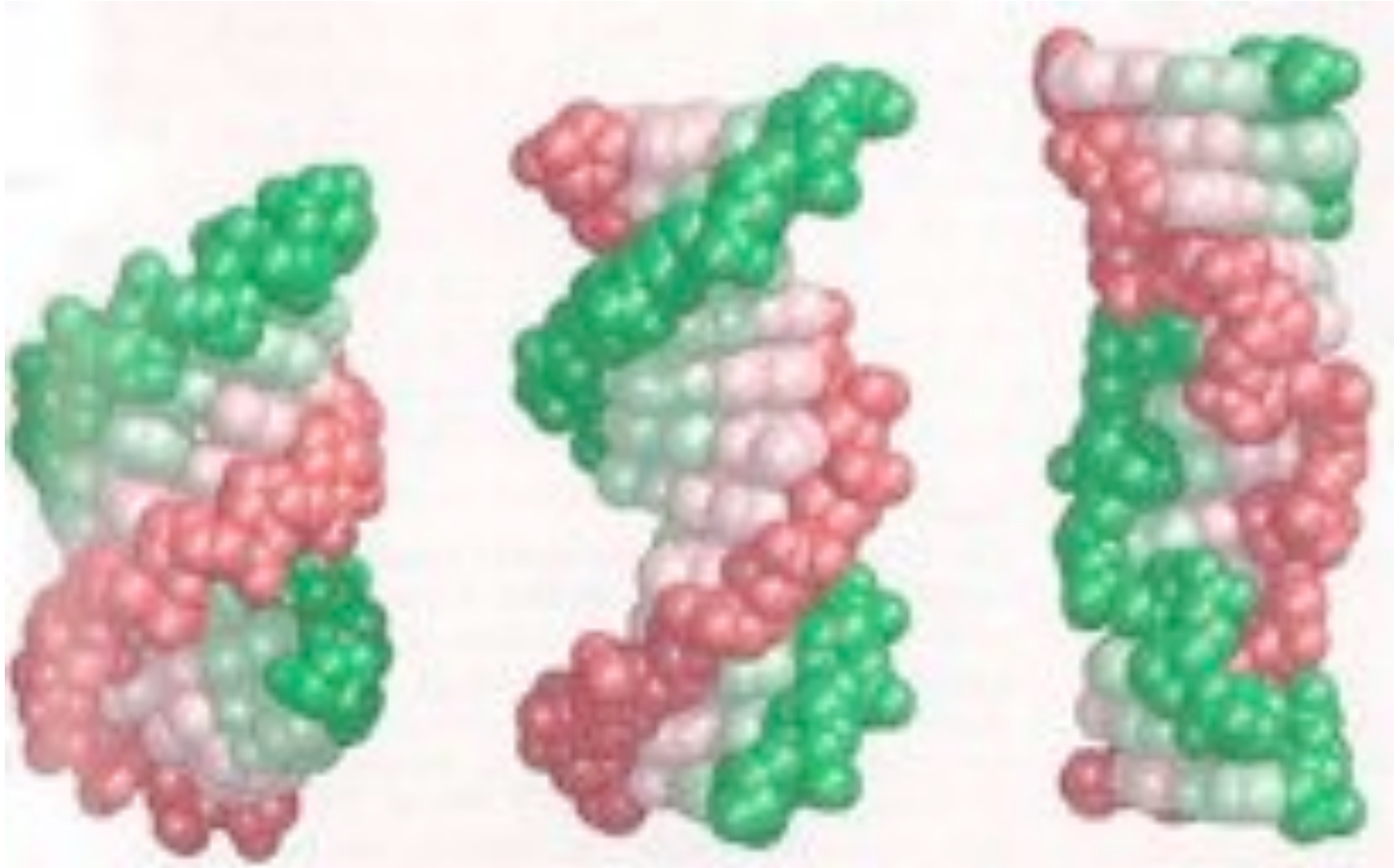
Ein raumfüllendes CPK-Modell von  
Lyszym. Links: Enzym ohne Substrat-  
molekül; man erkennt das spaltförmige  
aktive Zentrum. Rechts: Enzym-  
Substrat-Komplex, Substratmolekül  
in Farbe

## SCHEMATIC REPRESENTATION OF THE THREE-DIMENSIONAL STRUCTURE OF IgG



Each amino acid residue is represented by a small circle. The H chains are red and the L chains blue. A carbohydrate residue is yellow.

*E. W. Silvertown, et al. Proc. Nat. Acad. Sci. 74 (1977); p. 142.*

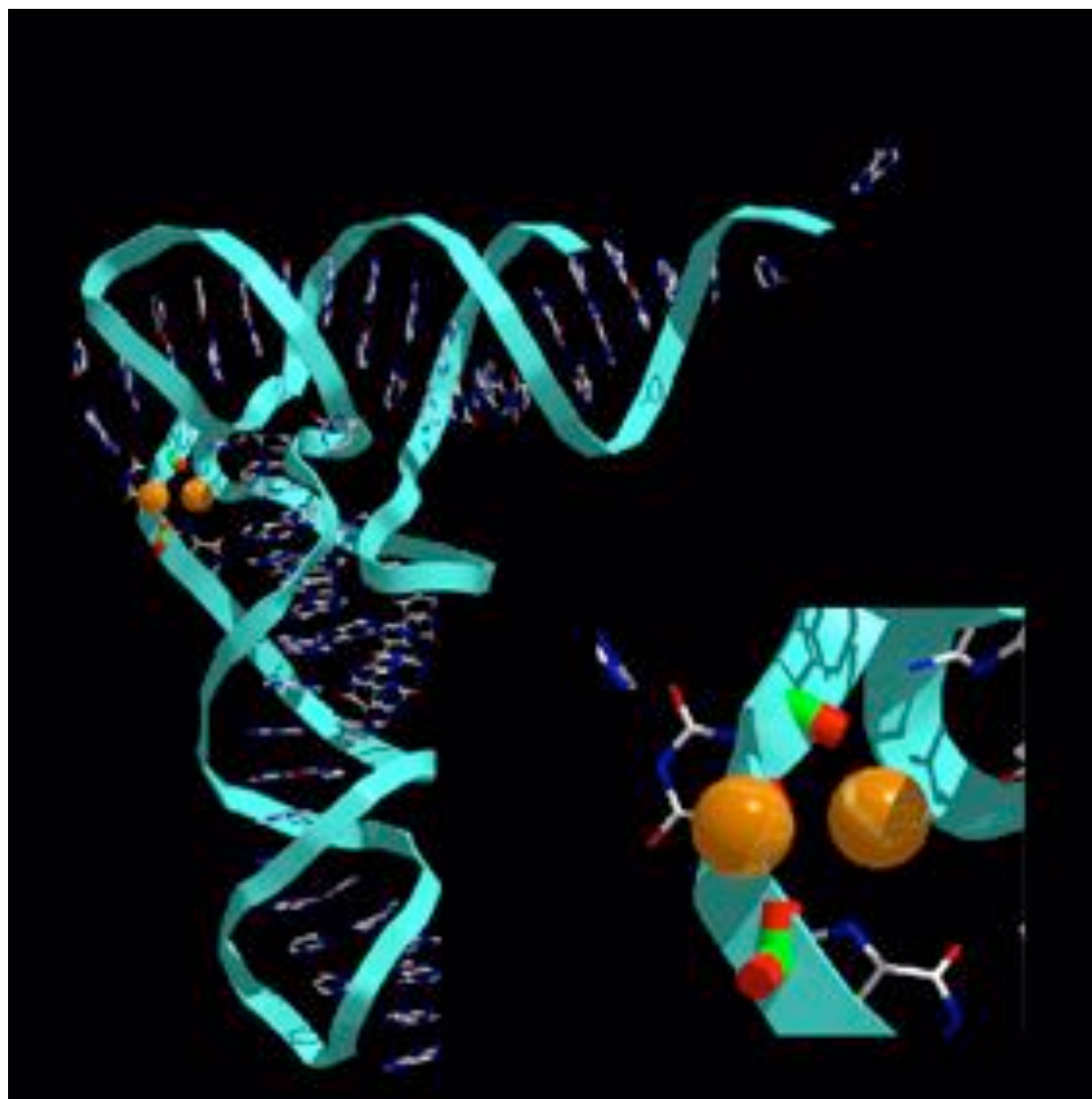


**A-DNA**

**B-DNA**

**Z-DNA**

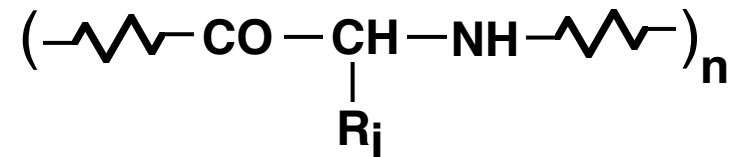




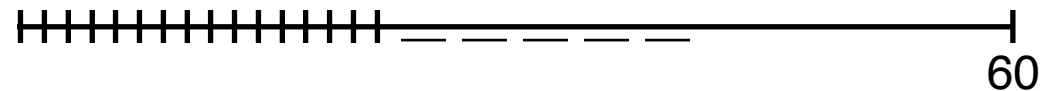
**The macromolecules of life  
are not there  
because they are more stable  
than their billions of constitutional isomers**

**They are the products of statistics and  
contingency  
and  
evolution**

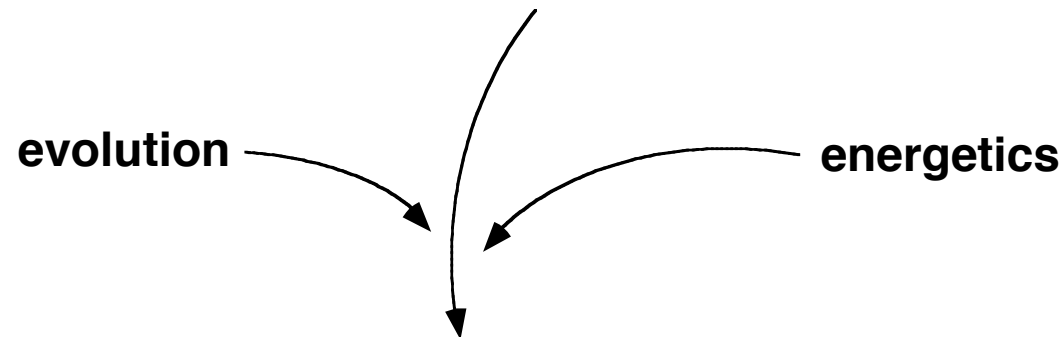
## On the importance of being a copolymer



Calculate: How many different macromolecules  
can you build, when  
 $n = 60$  and  $i = 1 - 20$



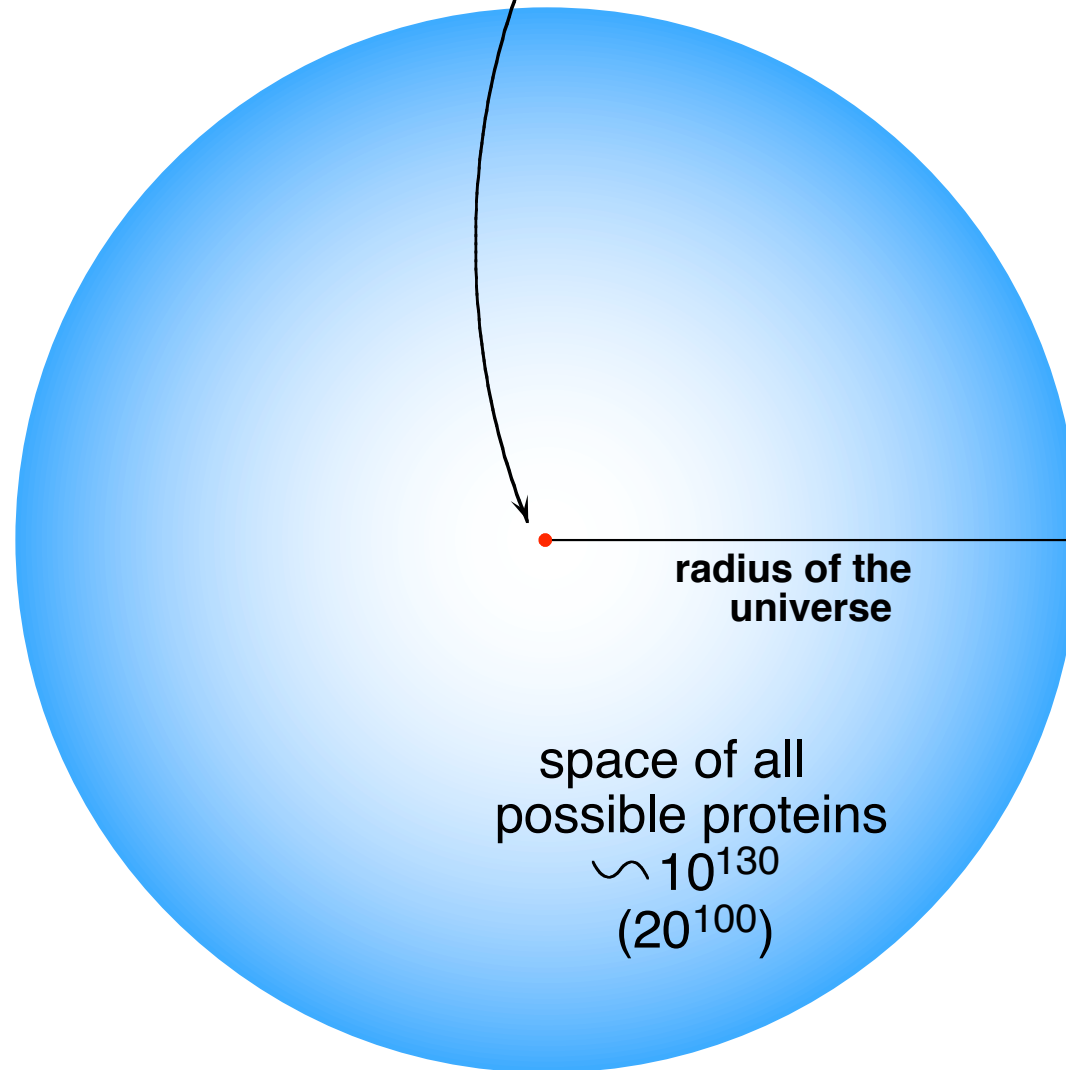
$$20 \times 20 \times 20 \times \dots \quad N = 20^{60} \simeq 10^{70} \quad !!!$$



**In nature there are only  $10^{12} - 10^{14}$  proteins**



space of the proteins  
present in nature  $\sim 10^{10}$   
(radius ca. 1 atom)



**Cast the dice again and.....**

**And you will get a different set  
of macromolecules  
that do not necessarily support life**

**QUESTION:**

**ARE THE PROTEINS OF LIFE THE ONLY  
ONES THAT COULD BE FORMED-  
AND GAVE ORIGIN  
TO LIFE BY A DETERMINISTIC  
(OBLIGATORY)  
SERIES OF EVENTS**

**OR**

**ARE THEY THE PRODUCT OF  
CONTINGENCY(CHANCE)  
AND LIFE IS ALSO A PRODUCT OF  
CONTINGENCY?**

**PROJECT RANDOM POLYPEPTIDES:**

**MAKE A VASTE LIBRARY OF RANDOM  
DE NOVO PROTEINS**

**ASK THE QUESTION: HOW MANY OF  
THEM WILL BE  
FOLDED?**

**Folding is the prerequisite for any  
intelligent function by proteins**



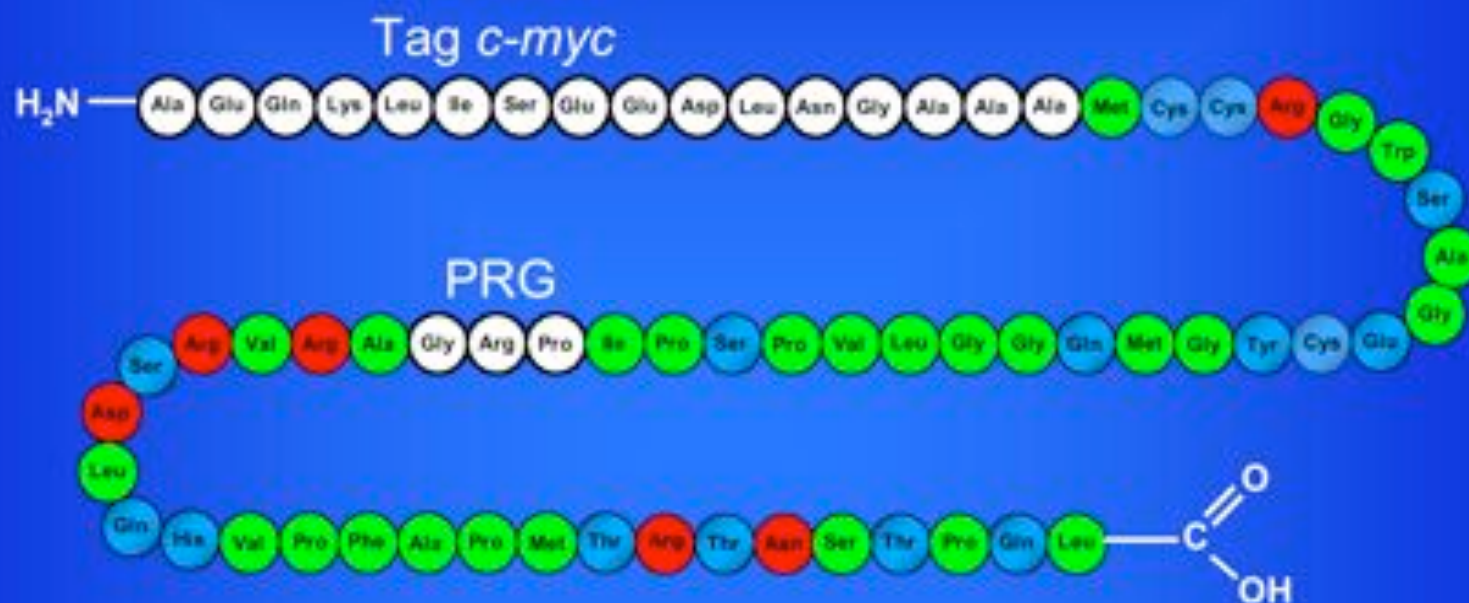








# Analisi della sequenza primaria della proteina 1



● aa apolari

● aa carichi

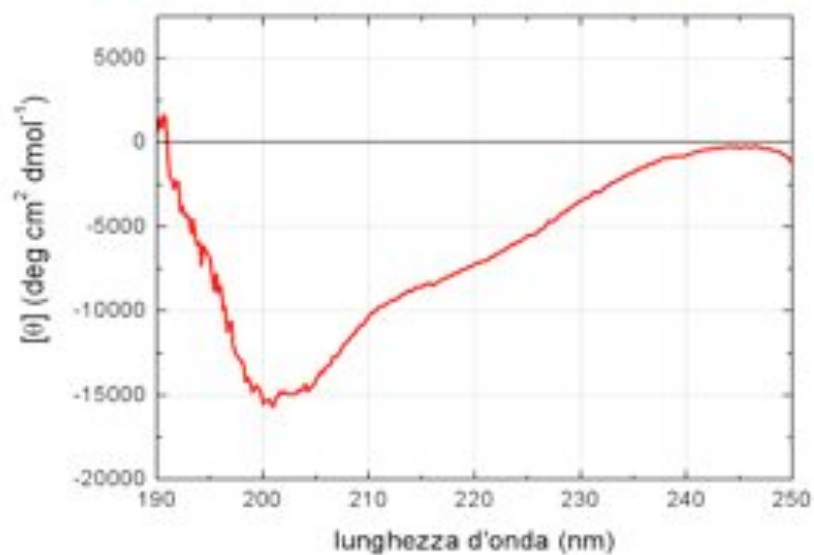
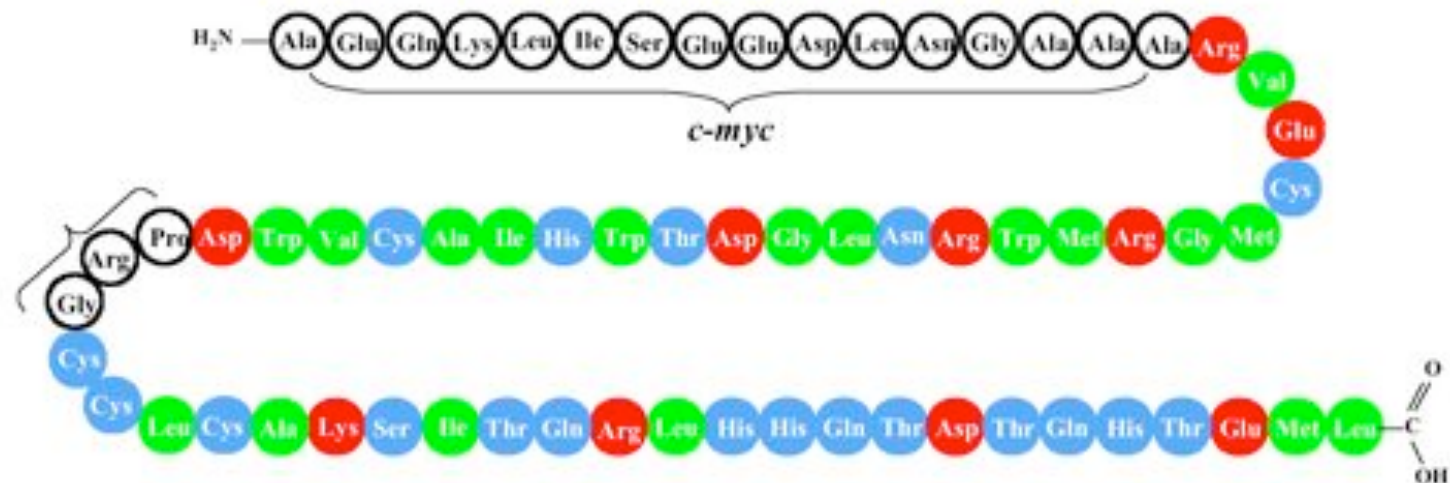
● aa polari

55% amminoacidi apolari

13% amminoacidi carichi

32% amminoacidi polari

## SEQUENZA PRIMARIA DEL POLIPEPTIDE 127

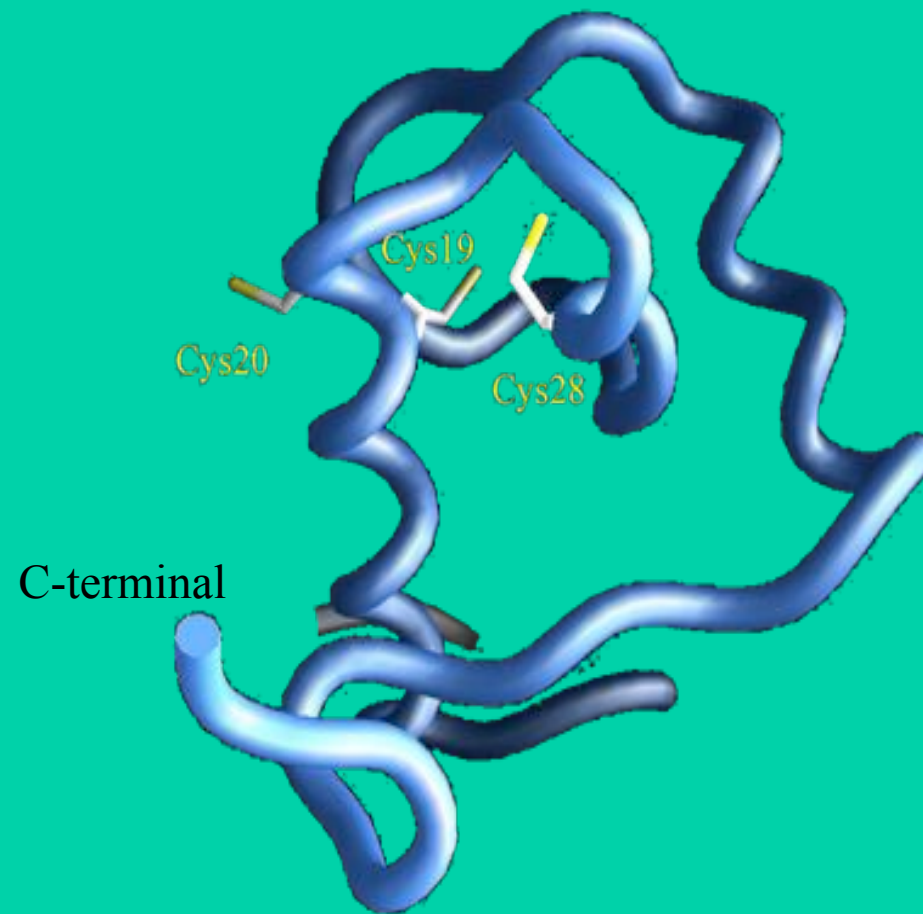


*Buffer glicina 5 mM, pH = 2,5*

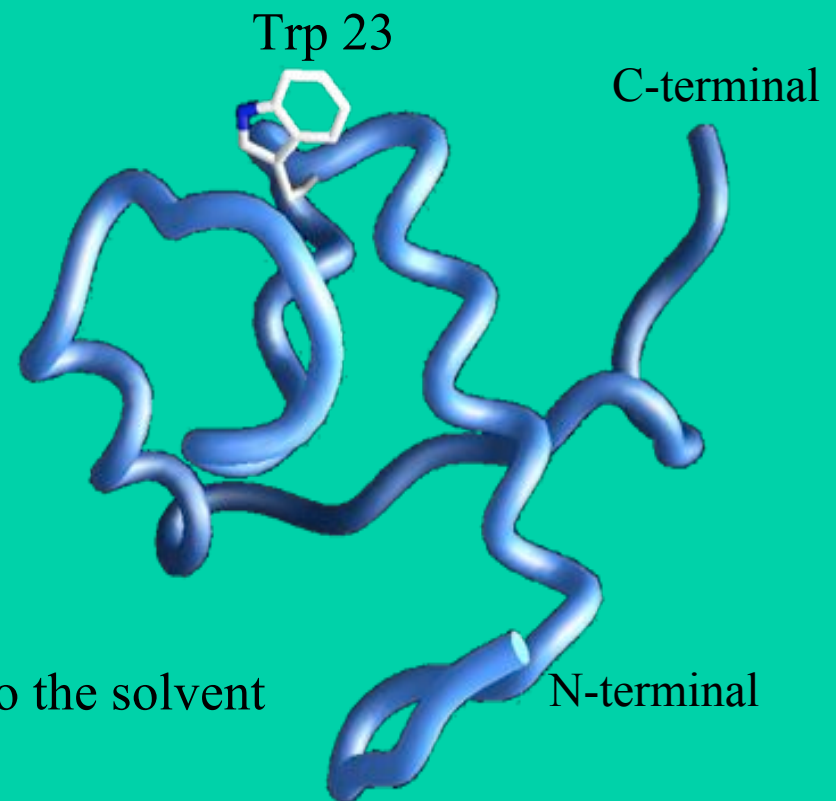
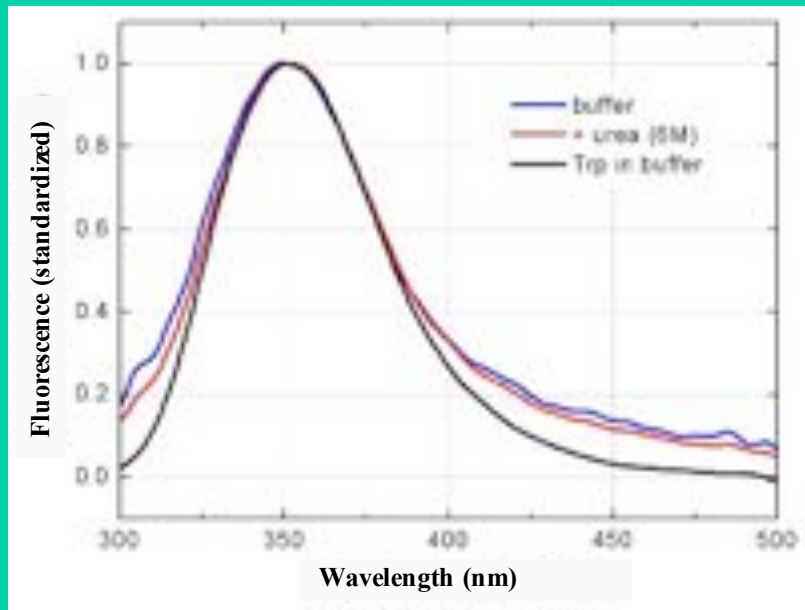
Tiziana Ottone

# TRIDIMENSIONAL STRUCTURE PREDICTION

## Cys residues

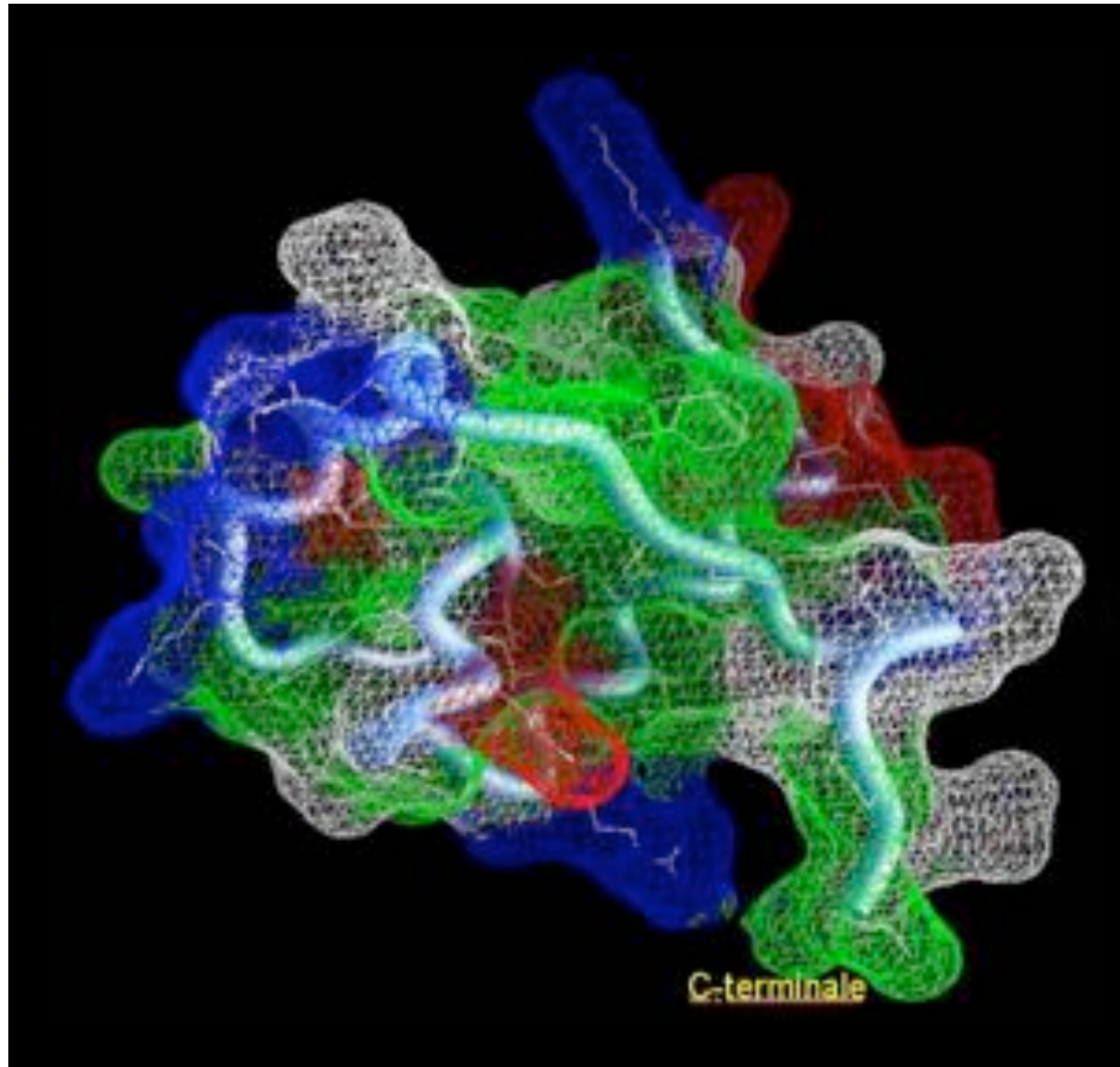


# FLUORESCENCE STUDIES



- The Trp residue could be exposed to the solvent

# TRIDIMENSIONAL STRUCTURE PREDICTION




## Globular Structure:


3  $\alpha$ -Helices:


1. Res. 9  $\rightarrow$  22
2. Res. 27  $\rightarrow$  30
3. Res. 42  $\rightarrow$  52 (PRG)

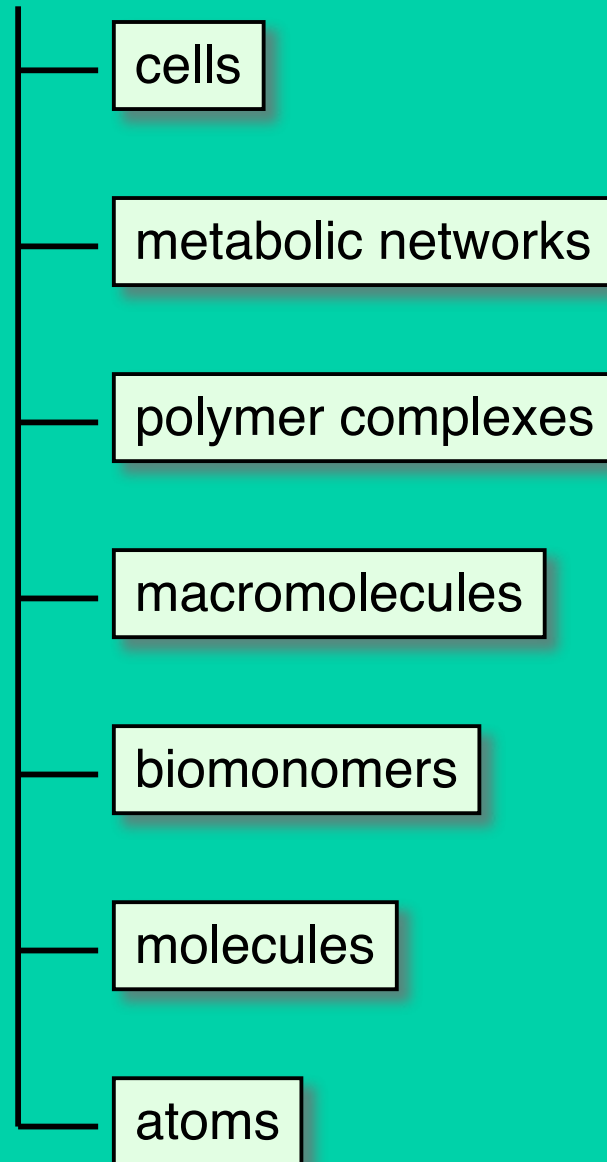
Tot.  $\alpha$ -Helix  $\sim$  40%

 : no polar zones

 : polar zones

 : + residues

 : - residues



**What is life?**

**THE INTERACTION WITH BUDDHISM:**

**THE NOTION OF BEGINNING-LESSNESS**

**.....and the notion of dependent origination**



## **TWO SCHOOLS OF THOUGHT**

**....AND TWO DIFFERENT EXPERIMENTAL APPROACHES**

### **1. THE CELLULAR VIEW:**

**YOU NEED A BOUNDARY (SEMIPERMEABLE MEMBRANE)**

**IN ORDER TO ACHIEVE THE NECESSARY SPATIAL ORGANIZATION,**

**LOCAL CONCENTRATIONS, , PROTECTION AND NUTRIENT SELECTION**

### **2. THE MOLECULAR REPLICATION VIEW:**

**ALL WHAT YOU NEED IS A MOLECULAR SPECIES**

**(E:G: A RNA QUASI-SPECIES) WHICH IS ABLE TO SELF-REPLICATE**

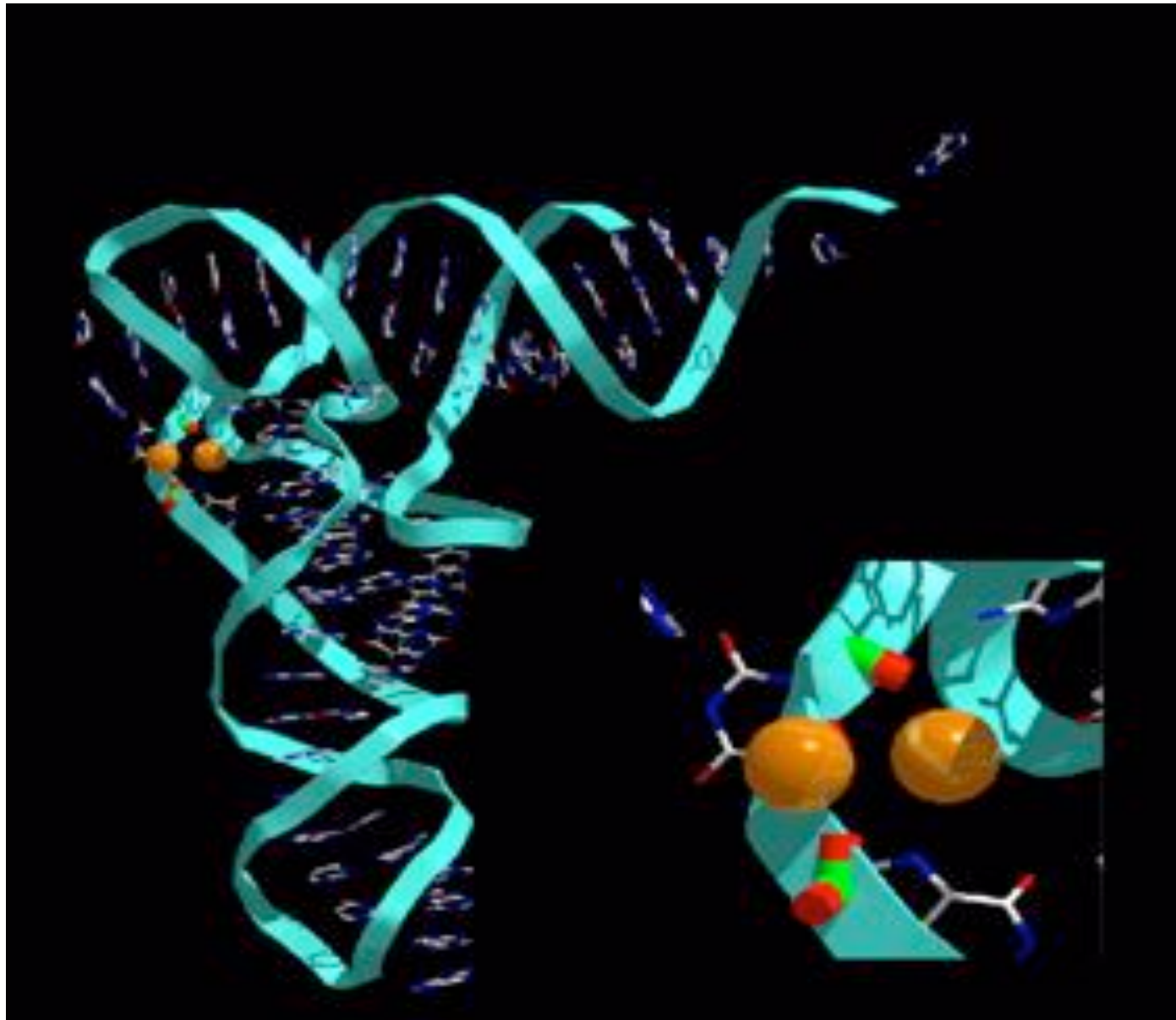
**AND MUTATE (EVOLVE) IN THE PROCESS**

-----

**THESE TWO VIEWS ARE OF COURSE NOT CONTRADICTORY,  
ACUALLY THEY ARE COMPLEMENTARY TO EACH OTHER**

**THE RNA-WORLD**

**IN THE ORIGIN OF LIFE**



**Mg-TRANSFER-RNA**

# THE BASIS OF THE RNA-WORLD

---

RNA IS THE PRIME MOLECULE  
CAME INTO EXISTENCE  
BEFORE PROTEINS AND DNA  
AND ORIGINATED THE WHOLE  
THING

RNA → RIBOZYMES → PROTEIC ENZYMES → DNA

# THE BASIS OF THE RNA-WORLD

---

RNA IS THE PRIME MOLECULE  
CAME INTO EXISTENCE BEFORE PROTEINS AND DNA  
AND ORIGINATED THE WHOLE THING

**RNA → RIBOZYMES → PROTEIC ENZYMES → DNA**

BUT  
WHO (WHAT) MADE RNA ?

TO CONVALIDATE THE ABOVE VIEW  
ONE SHOULD SHOW THAT RNA ( IN PARTICULAR RIBOZYMES)  
CAN COME TO EXISTENCE SPONTANEOUSLY  
WITHOUT THE ASSISTENCE OF ENZYMES AND  
OF PRE-ADDED TEMPLATE RNA

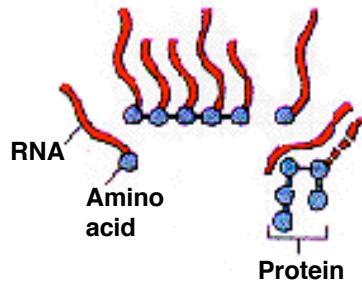
.....THIS HAS NOT BEEN ARCHIVED YET



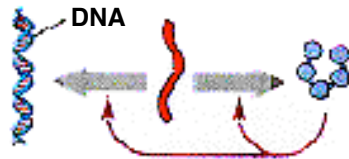
[A] RNA forms



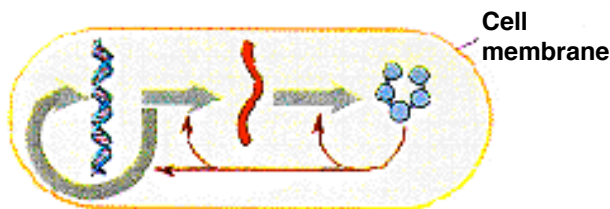
[B] Ribozymes catalyze RNA replication



[C] RNA catalyzes protein synthesis



[D] RNA encodes both DNA and protein



[E] Proteins catalyze cell activities

## The Origins of life in a Proposed RNA World

[A] Organic subunits could have combined and formed RNA molecules. [B] RNA molecules could have acted as ribozymes, catalyzing their own replication. [C] RNA molecules could also have catalyzed the synthesis of protein, which in turn stabilized RNA molecules and catalyzed RNA replication. [D] DNAs could have been copied from RNA molecules, and at some point, proteins may have begun to catalyze the synthesis of more proteins from information in RNA. [E] DNA assumed an information storage role, while RNA continued to be involved in protein synthesis; a cell membrane also appeared.

" The Nature of Life "

Postlethwait J.H., Hopson J.L. (1995)

Mc Graw-Hill Inc. New York

**HOW DO YOU PROCEED  
EXPERIMENTALLY**

**FOR CONSTRUCTING  
IN THE LABORATORY**

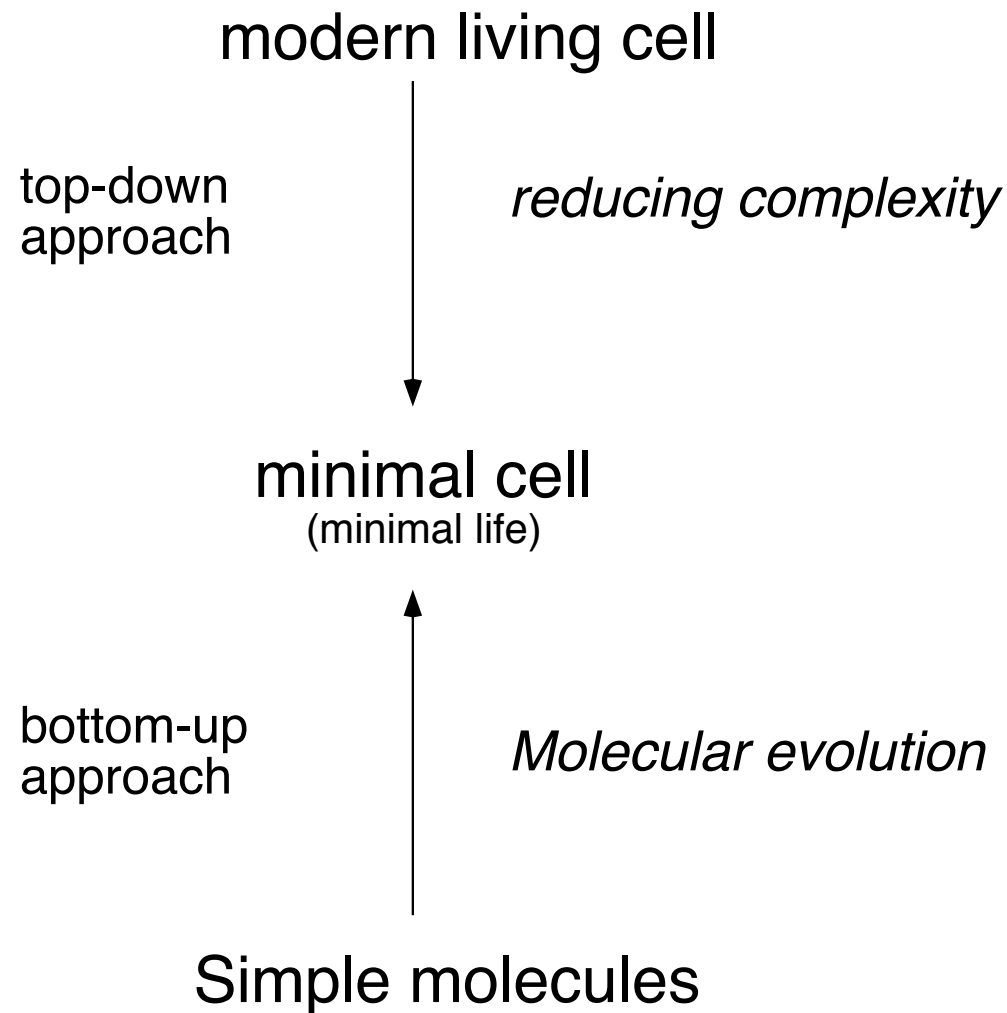
**A LIVING CELL MODEL?**

towards an operational definition  
of minimal life

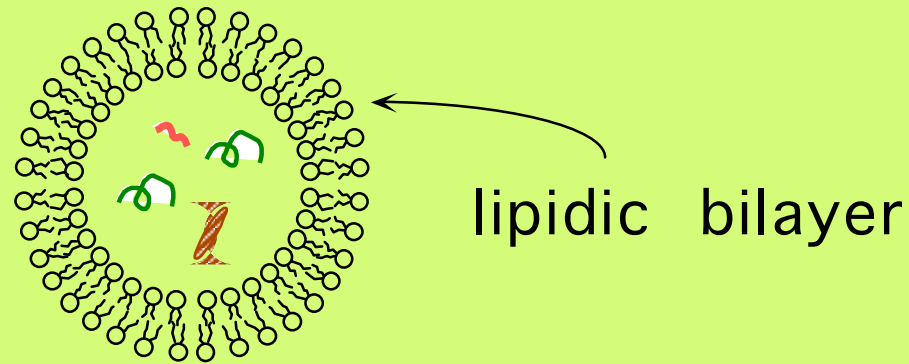
- at which level of molecular complexity does the quality emerge, which one can call life?
- what are the minimal and sufficient conditions for a physical system to have "life"?
- which is the simplest possible synthetic chemical equivalent of a living cell?



# two working directions



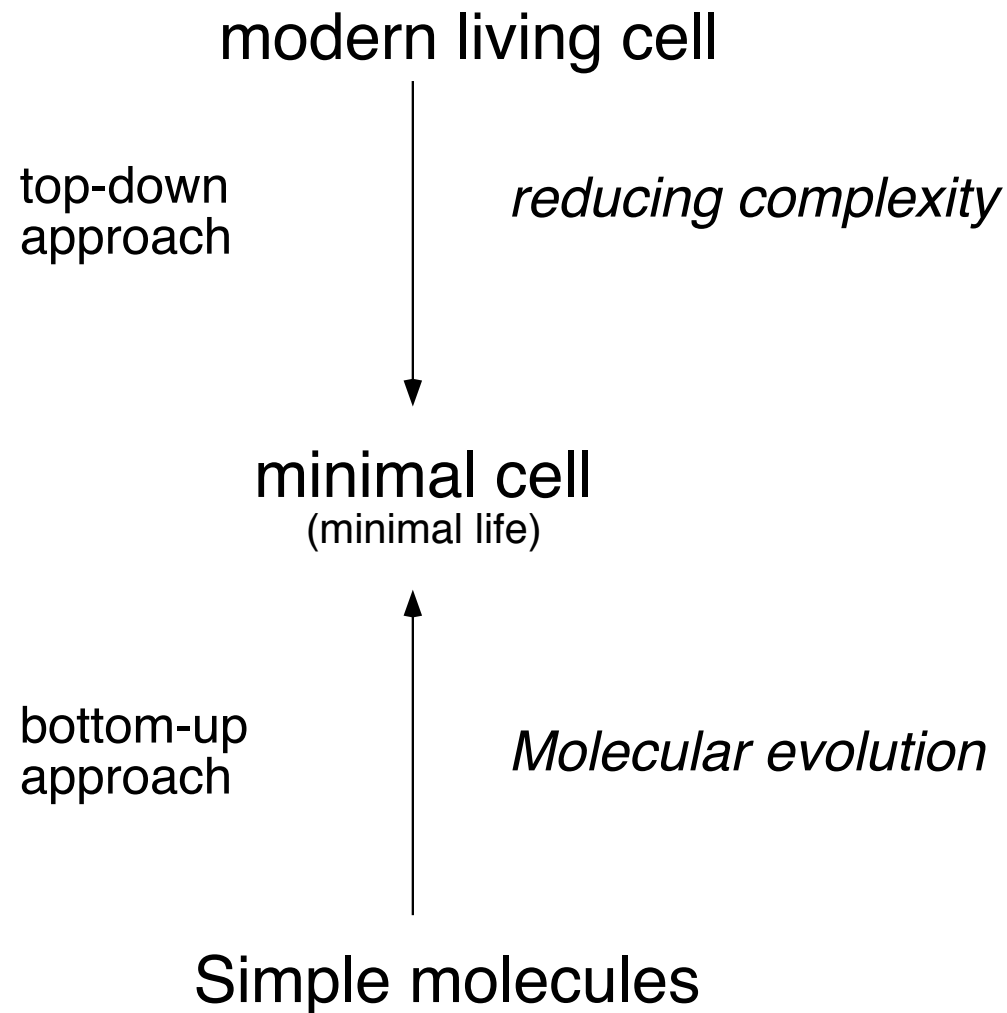
## the notion of the minimal cell:



containing the minimal and sufficient  
number of components to be "alive"



# two working directions



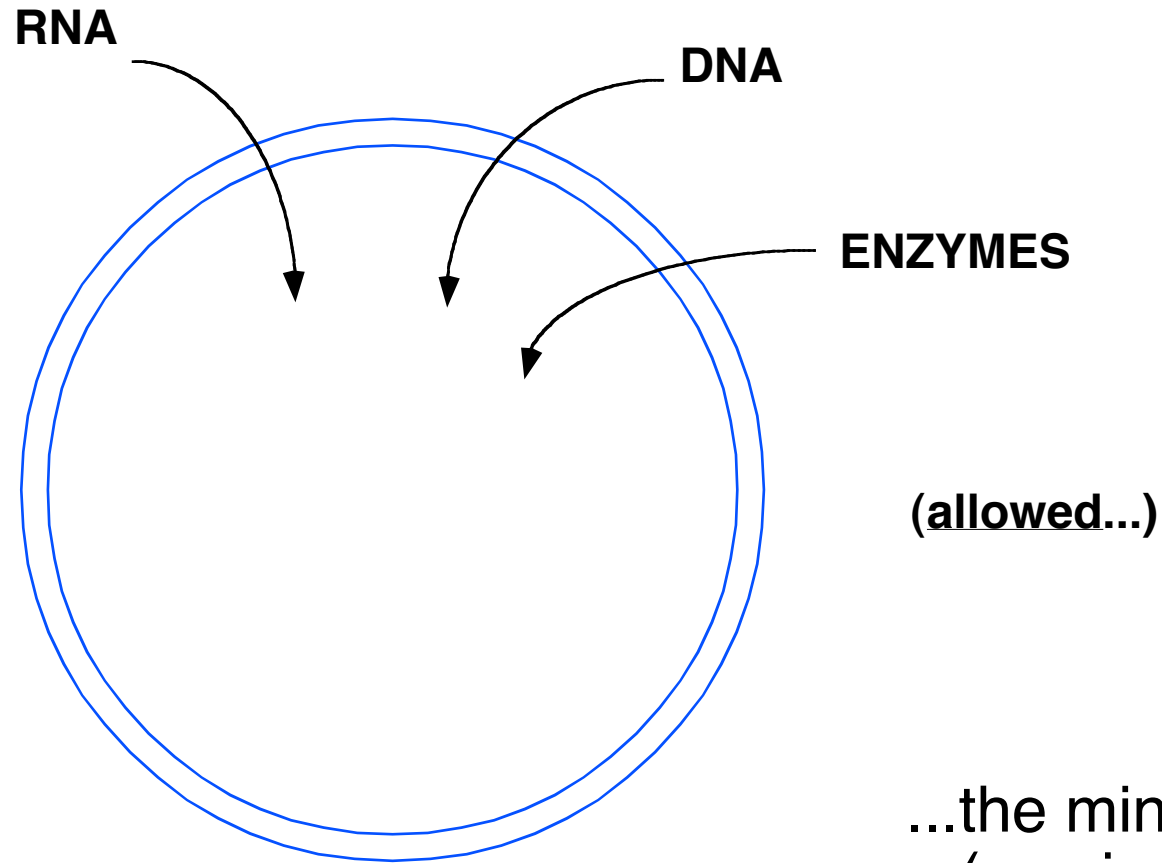
towards an operational definition  
of minimal life

- at which level of molecular complexity does the quality emerge, which one can call life?
- what are the minimal and sufficient conditions for a physical system to have "life"?
- which is the simplest possible synthetic chemical equivalent of a living cell?

**However, the reconstitution of life by the bottom-up approach is made difficult or impossible by the laws of contingency :the problem of re-making our present macromolecular sequences**

**..you can make different ones, and show that in principle this pathway is possible**

# TOP-DOWN APPROACH TO THE MINIMAL CELL

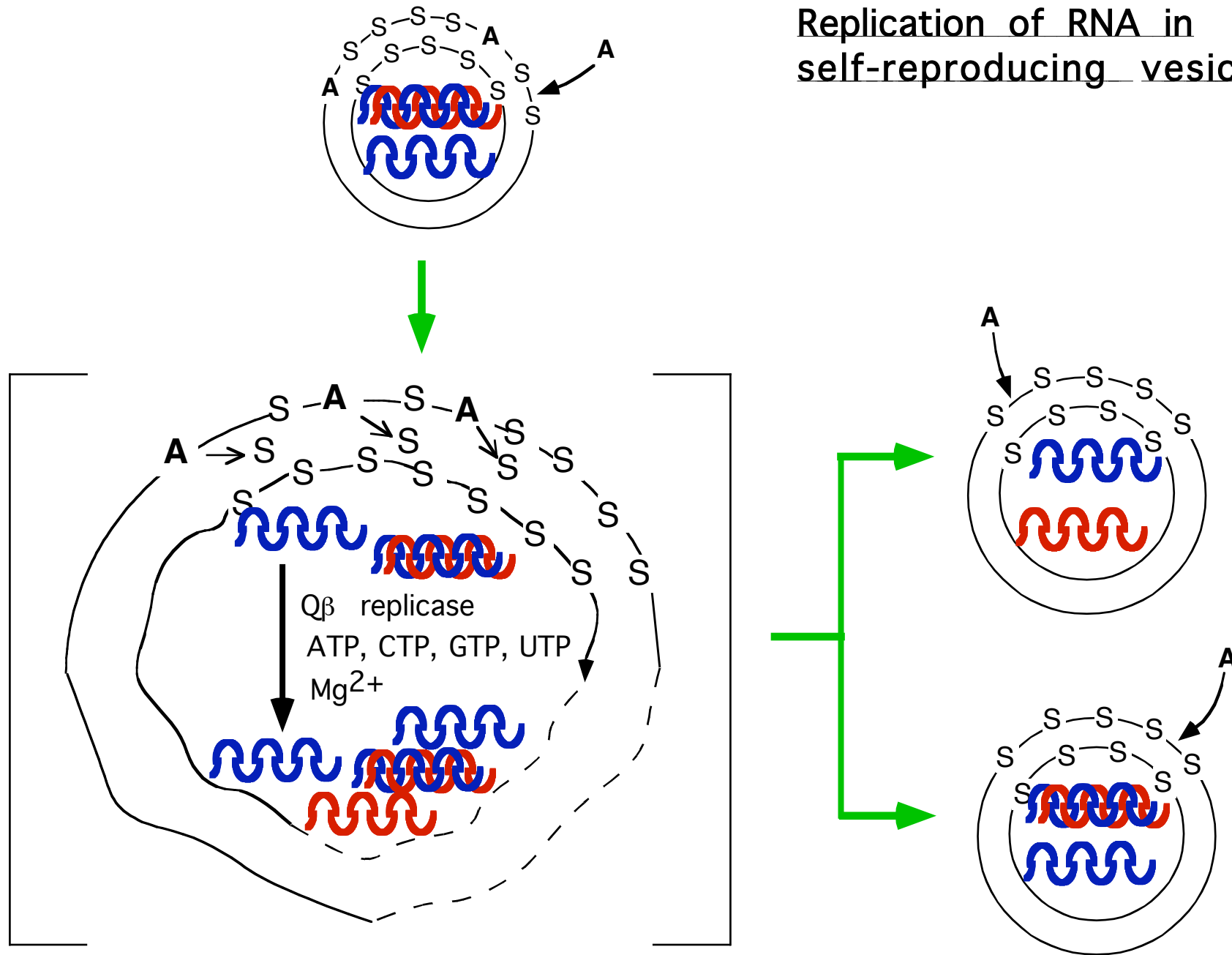


...the minimal artificial  
(semi-artificial?) form of life.

S  
SHELL AND CORE  
E  
L  
SELF-  
A  
REPRODUCTION  
N  
D  
C  
O  
R  
E

*...UNCOUPLED...*

## Replication of RNA in self-reproducing vesicles





# **Is this life?**

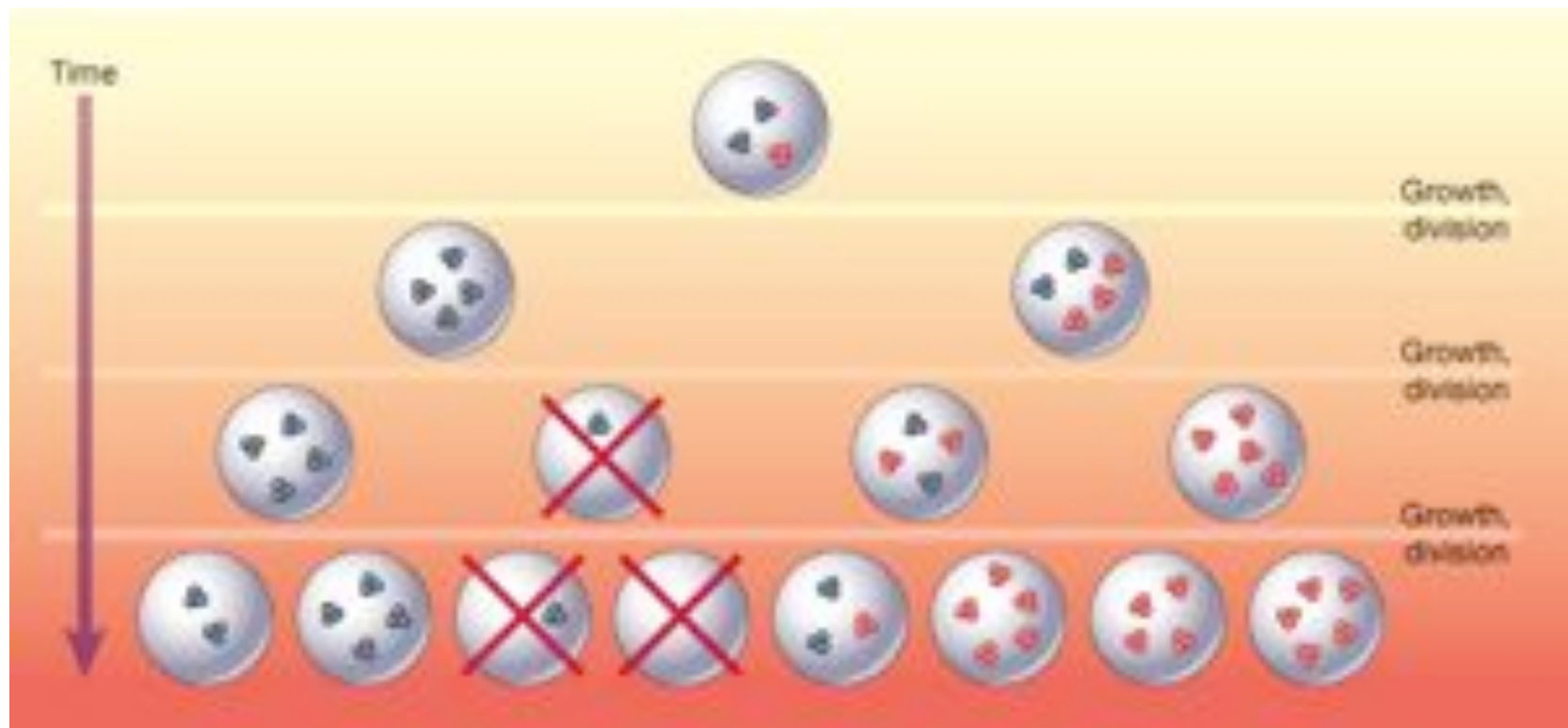
**Not really.**

**With progressive  
generations, the active components  
are diluted out  
because they are not fabricated  
by the compartment itself**

Nature 409, 387 - 390 (2001)

# Synthesizing life

JACK W. SZOSTAK, DAVID P. BARTEL & P. LUIGI LUISI



The work on the minimal living cell must be accompanied by studies on the early cells - namely the protocells at the time before the advent of ribosomes and before the high selectivity of modern times —

This would eliminate the 55 ca. genes of the ribosomal proteins and would reduce the 20 t-RNA genes to a much lower number  
→ DOWN TO a final 20-25 GENES??

# **AS A WAY OF CONCLUSION.1**

**CONCERNING THE TRANSITION TO LIFE FROM THE INANIMATE MATTER:**

**1. IT HAS NOT BEEN IMPLEMENTED IN THE LAB YET. THEREFORE, IT REMAINS AN HYPOTHESIS. AND THE BOTTOM UP APPROACH SEEMS TO BE MADE IMPOSSIBLE BY THE LAWS OF CONTINGENCY- CONCEPTUALLY AND EXPERIMENTALLY**

**2.THE CONSTRUCTION OF SYNTHETIC LIVING CELLS APPEARS POSSIBLE USING EXTANT MACROMOLECULES. MOST SCIENTISTS BELIEVE, THAT „SOON“, THIS WILL BE REALIZED.**

**THE NEW RESEARCH AREA ON THE MINIMAL CELLS INTERESTS NOW ABOUT ONE DOZEN GROUPS AROUND THE WORLD.**

**WHY IS THIS RESEARCH RELEVANT?**

**1. UNDERSTANDING THE CHEMICAL ESSENCE OF LIFE BY RECONSTRUCTING IT IN THE LAB**

**2. UNDERSTANDING OF THE EARLY CELLS**

**3. BIOTECHNOLOGICAL RELEVANCE (E.G., PROTEINS SYNTHESIS WITH SIMPLE LIPOSOME SYSTEMS)**

- How far is it master?
- That is irrelevant. Hold your tongue and walk!



**Parole/domande chiave su cui riflettere:**

**ORIGINE DELLA VITA DALLA MATERIA INANIMATA**

**DETERMINISMO/CONTINGENZA**

**VIVIAMO DI UN „ATOMO“ DI PROTEINE**

**SIAMO SOLI NELL'UNIVERSO?**

**ANCHE IL VIVENTE CONSISTE SOLO DI ATOMI**

**CREAZIONISMO**

**MOVIMENTO CRIPTO-CREAZIONISTA**

**COSE PER I PROSSIMI GIORNI:**

**CHIMICA PREBIOTICA**

**AUTO-ORGANIZZAZIONE**

**PROPRIETA' EMERGENTI**

**AUTO-RIPRODUZIONE**

**VITA ARTIFICIALE**

**COS'È VITA?**

**VITA E COGNIZIONE**



## Questions to the reader chapter one

1. Do you accept the view that life on Earth originated from inanimate matter without any contribution from transcendent power?
2. Do you accept the idea that biological evolution is mostly shaped by contingency? If not, what would you add to this picture?
3. Are you at peace with the idea that mankind might not have existed; and with the idea that we may be alone in the universe?
4. Do you accept the idea that the living is made up only by molecules and nothing else?